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SECURITIES AND FUTURES ACT (CHAPTER 289)

SECURITIES AND FUTURES (CLASSES OF INVESTORS) (AMENDMENT) REGULATIONS 2019

In exercise of the powers conferred by section 341 of the Securities and Futures Act, the Monetary Authority of Singapore makes the following Regulations:

Citation and commencement

1. These Regulations are the Securities and Futures (Classes of Investors) (Amendment) Regulations 2019 and come into operation on 8 January 2019.

Deletion of regulation 3

2. Regulation 3 of the Securities and Futures (Classes of Investors) Regulations 2018 (G.N. No. S 665/2018) (called in these Regulations the principal Regulations) is deleted.

Amendment of regulation 5

3. Regulation 5 of the principal Regulations is amended —

(a) by deleting the words “paragraph (1)” in paragraphs (3) and (4) and substituting in each case the words “paragraph (2)”; and

(b) by deleting sub-paragraph (b) of paragraph (4) and substituting the following sub-paragraph:

“(b) only involves funds —

(i) that were transferred to the holder of a capital markets services licence, or to the person exempt from the

requirement to hold a capital markets services licence (as the case may be) before 8 January 2019; or

- (ii) in respect of which an agreement has been entered into before 8 January 2019 to transfer those funds to the holder of a capital markets services licence, or to the person exempt from the requirement to hold a capital markets services licence, as the case may be.”.

Deletion of First Schedule

4. The First Schedule to the principal Regulations is deleted.

Made on 7 January 2019.

RAVI MENON
Managing Director,
Monetary Authority of Singapore.

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