

**HAZARDOUS WASTE (CONTROL OF EXPORT, IMPORT AND  
TRANSIT) ACT**

**(CHAPTER 122A, SECTIONS 17, 19 AND 48)**

**HAZARDOUS WASTE (CONTROL OF EXPORT, IMPORT AND  
TRANSIT) REGULATIONS**

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[16th March 1998]

PART I

PRELIMINARY

**Citation**

1. These Regulations may be cited as the Hazardous Waste (Control of Export, Import and Transit) Regulations.

## **Definitions**

2. In these Regulations, unless the context otherwise requires —
- “variation”, in relation to a permit, includes a variation of the permit conditions imposed on the permit;
  - “variation application” means an application under regulation 21 for the variation of a permit.

## PART II

### APPLICATIONS FOR AND GRANT OF PERMITS

#### **Applications for import permits**

3.—(1) A person who has one or more import proposals in relation to hazardous or other waste may apply to the Director for a permit authorising the import of the waste.

(2) The application shall —

- (a) be in the form approved by the Director; and
- (b) set out, or be accompanied by, such information relating to the proposals as is required by the form.

(3) The application may deal with 2 or more import proposals in relation to hazardous or other waste only if —

- (a) the following matters are common to each proposal:
  - (i) the physical and chemical characteristics of the waste;
  - (ii) the route over which the waste is to be transported; and
  - (iii) the sender of the waste;
- (b) the proposals constitute a regular pattern; and
- (c) the proposals will all be carried out within 12 months of each other.

#### **Applications for export permits**

4.—(1) A person who —

- (a) wants to export hazardous or other waste; and

(b) has an export proposal in relation to the waste,  
may apply to the Director for a permit to export the waste.

(2) The application shall —

(a) be in the form approved by the Director; and

(b) set out, or be accompanied by, such information relating to the proposals as is required by the form.

(3) The application may deal with 2 or more export proposals in relation to hazardous or other waste only if —

(a) the following matters are common to each proposal:

(i) the physical and chemical characteristics of the waste;

(ii) the route over which the waste is to be transported; and

(iii) the recipient of the waste;

(b) the proposals constitute a regular pattern; and

(c) the proposals will all be carried out within 12 months of each other.

### **Applications for transit permits**

**5.—(1)** A person who has one or more transit proposals in relation to hazardous or other waste may apply to the Director for a permit to carry out the proposals.

(2) The application shall —

(a) be in the form approved by the Director; and

(b) set out, or be accompanied by, such information relating to the proposals as is required by the form.

(3) The application may deal with 2 or more transit proposals in relation to hazardous or other waste only if —

(a) the following matters are common to each proposal:

(i) the physical and chemical characteristics of the waste;

(ii) the route over which the waste is to be transported; and

(iii) the sender and recipient of the waste;

- (b) the proposals constitute a regular pattern; and
- (c) the proposals will all be carried out within 12 months of each other.

### **Basel permit or special permit**

6.—(1) An application under regulation 3, 4 or 5 shall state that the applicant is applying for —

- (a) a Basel permit; or
- (b) a special permit under a specified set of Article 11 regulations.

(2) If —

- (a) an application under regulation 3, 4 or 5 states that the applicant is applying for a Basel permit; and
- (b) the Director is satisfied that there are special circumstances relating to the application,

the Director may, by writing, determine that the Act and these Regulations have effect as if the applicant had applied instead for the corresponding type of special permit under a set of Article 11 regulations specified in the determination.

(3) If —

- (a) an application under regulation 3, 4 or 5 states that the applicant is applying for a special permit under a particular set of Article 11 regulations; and
- (b) the Director is satisfied that there are special circumstances relating to the application,

the Director may, by writing —

- (i) determine that the Act and these Regulations have effect as if the applicant had applied instead for the corresponding type of Basel permit; or
- (ii) determine that the Act and these Regulations have effect as if the applicant had applied instead for the corresponding type of special permit under another set of Article 11 regulations specified in the determination.

(4) A determination under this regulation has effect accordingly.

(5) Where the Director makes a determination under this regulation, the Director shall give the applicant a copy of the determination as soon as practicable.

### **Variation of applications for permits**

7.—(1) An applicant for a Basel permit or a special permit may, at any time before the Director has decided whether to grant the permit, give the Director a notice stating that the permit application is varied as set out in the notice.

(2) The notice shall —

(a) be in the form approved by the Director; and

(b) set out, or be accompanied by, such information relating to the variation as is required by the form.

(3) If the Director receives a notice under paragraph (1) from the applicant —

(a) the application is to be taken to have been varied in accordance with the notice; and

(b) the application, as varied, is to be taken to have been received by the Director on the day on which the Director received the notice.

### **Further information may be requested**

8. If the Director needs further information to deal with a permit application, the Director may ask the applicant to provide the information in writing.

### **Grant of import permits and export permits**

9.—(1) Subject to this Part, the Director may grant the export permit or import permit sought by a permit application if the Director is satisfied —

(a) that dealing with the hazardous or other waste concerned in accordance with the import proposals or export proposals

would be consistent with the environmentally sound management of the waste;

- (b) if the permit sought is a Basel export permit authorising the export of hazardous or other waste to a particular foreign country —
  - (i) that the competent authority of the country has given written consent to the grant of the permit; and
  - (ii) that the consent was given in accordance with Article 6 of the Basel Convention;
- (c) if the permit sought is a special export permit under a set of Article 11 regulations authorising the export of hazardous or other waste to a particular foreign country —
  - (i) that the competent authority of the country has given written consent to the grant of the permit; and
  - (ii) that the consent was given in accordance with the corresponding Article 11 arrangement;
- (d) if the permit sought is an export permit, that the hazardous or other waste will be allowed to be transported through any foreign country through which the waste is proposed to be transported;
- (e) if the permit sought is an import permit authorising the import of hazardous or other waste into Singapore for re-use or for a reprocessing, recycling or recovery operation, that the waste is required as a raw material for such re-use or operation in Singapore;
- (f) that, having regard to —
  - (i) the applicant's financial viability;
  - (ii) the applicant's previous record in relation to environmental matters; and
  - (iii) any other relevant matters,the applicant is a suitable person to be granted the permit;

- (g) if the Director decides that an emergency action plan is necessary, that the applicant has such a plan and that the plan is adequate to deal with any accidental discharge or spillage of the hazardous or other waste;
  - (h) that the applicant has the appropriate insurance; and
  - (i) if the Director so requires, that the applicant has given or caused to be given, one or more appropriate guarantees or security deposits in respect of compliance by the applicant with his obligations under, or arising out of, the Act or these Regulations.
- (2) No import permit or export permit shall be granted under paragraph (1) —
- (a) if the Director thinks that it would not be in the public interest to grant the permit;
  - (b) if the Director thinks that there is another way in which the hazardous or other waste could be dealt with that would be consistent with the environmentally sound management of waste and having regard to Singapore's international obligations, the waste should be dealt with in that other way rather than in accordance with the import proposals or export proposals;
  - (c) if the Director is satisfied that the grant could result in hazardous or other waste being brought into Antarctica;
  - (d) if the export sought is to, or the import sought is from, a foreign country that is neither a party to the Basel Convention nor a party to an Article 11 arrangement;
  - (e) where the permit sought is a Basel export permit, if —
    - (i) the foreign country to which the waste is sought to be exported has given a notification in accordance to Article 4.1 (a) of the Basel Convention of its decision to prohibit all imports of hazardous or other wastes;
    - (ii) having regards to the requirements of Article 6.3 (b) of the Basel Convention, the Director thinks that it would not be appropriate to grant the permit; or

- (iii) the Director thinks that the hazardous or other waste could be disposed of in an environmentally sound and efficient manner by using a facility in Singapore; or
- (f) where the permit sought is an import permit, if the Director thinks that the foreign country from which the waste is sought to be imported has the facilities, capacity or disposal sites that would allow disposal of the waste in an environmentally sound manner.

### **Grant of transit permits**

**10.**—(1) Subject to this Part, the Director may grant the transit permit sought by a permit application if the Director is satisfied —

- (a) that carrying out the transit proposals will not pose a significant risk of injury or damage to human beings or the environment;
  - (b) that, having regard to —
    - (i) the applicant's financial viability;
    - (ii) the applicant's previous record in relation to environmental matters; and
    - (iii) any other relevant matters,the applicant is a suitable person to be granted the permit; and
  - (c) that the applicant has the appropriate insurance.
- (2) No transit permit shall be granted under this regulation if —
- (a) the Director thinks that it would not be in the public interest to grant it; or
  - (b) the Director is satisfied that carrying out the transit proposals could result in hazardous or other waste being brought into Antarctica.

### **Determination of whether applicant has appropriate insurance**

**11.** An applicant for a permit has appropriate insurance for the purposes of regulation 9 or 10 if —

- (a) the applicant is reasonably insured against risks that might arise in relation to the hazardous or other waste concerned if the permit were granted; or
- (b) the applicant, whether because of arrangements made by the applicant or otherwise, will be able to discharge any liability of the applicant that might arise in relation to the hazardous or other waste concerned if the permit were granted.

### **Applicant to be notified of decision**

**12.**—(1) The Director may grant or refuse to grant a permit without assigning any reason.

(2) If the Director decides to grant a permit, the Director shall give the permit to the applicant as soon as practicable after making the decision.

(3) If the Director decides not to grant a permit, the Director shall inform the applicant of the decision as soon as practicable after making the decision.

(4) A contravention of this regulation in relation to a decision does not affect the validity of the decision.

### **Matters to be specified in import permits**

**13.**—(1) An import permit shall specify particulars of —

- (a) the kind of hazardous or other waste to be imported;
- (b) the quantity of hazardous or other waste to be imported;
- (c) the method of transport by which the hazardous or other waste is to be imported;
- (d) the time at which, or period during which, the hazardous or other waste is to be imported;
- (e) the place from which the hazardous or other waste is to be imported; and
- (f) the way in which the hazardous or other waste is to be dealt with after the import.

(2) The particulars specified in an import permit of the way in which the hazardous or other waste is to be dealt with after the import may include particulars of a facility to be used in disposing of the waste, or any process involved in such a disposal.

(3) An import permit may also include conditions under regulation 16 and such other information as the Director considers appropriate.

### **Matters to be specified in export permits**

**14.—**(1) An export permit shall specify particulars of —

- (a) the kind of hazardous or other waste to be exported;
- (b) the quantity of hazardous or other waste to be exported;
- (c) the method of transport by which the hazardous or other waste is to be exported;
- (d) the time at which, or period during which, the hazardous or other waste is to be exported;
- (e) the place to which the hazardous or other waste is to be exported; and
- (f) the way in which the hazardous or other waste is to be dealt with after the export.

(2) The particulars specified in an export permit of the way in which the hazardous or other waste is to be dealt with after the export may include particulars of a facility to be used in disposing of the waste, or any process involved in such a disposal.

(3) An export permit may also include conditions under regulation 16 and such other information as the Director considers appropriate.

### **Matters to be specified in transit permits**

**15.—**(1) A transit permit shall specify particulars of the transit proposals concerned.

(2) A transit permit may also include conditions under regulation 16 and such other information as the Director considers appropriate.

**Permits may be granted subject to conditions**

16.—(1) The Director may grant a permit subject to conditions specified in the permit and, in particular, the Director may specify a condition in the permit requiring the holder of the permit to cause to be carried out immediate measures to contain and clean up any accidental discharge or spillage of the hazardous or other waste to the standards approved by the Director.

(2) The Director may specify in the permit the day on or before which the holder of the permit is to comply with a condition.

**Furnishing of information by permit holder**

17.—(1) Every holder of a permit shall —

- (a) cause tests to be conducted at his own expense by any method approved by the Director to verify that the hazardous or other waste exported from or imported into Singapore or brought into Singapore on transit under a permit, or proposed to be so imported, exported or brought in, complies with any particulars of the waste specified in the permit;
- (b) complete a movement document in such form and manner as the Director may determine and to submit it to the Director within one month after the completion of disposal of each consignment;
- (c) notify the Director of the import, export or transit of the hazardous or other waste within 14 days of its movement in such form and manner as the Director may determine;
- (d) keep records of the hazardous or other waste exported, imported or brought into Singapore on transit in such form and manner as the Director may determine; and
- (e) notify the Director of any discharge or spillage of the hazardous or other waste and to submit a report in writing containing such information as the Director may require as soon as practicable after any such incident.

(2) The Director may exempt any person or class of persons from any requirement in paragraph (1).

(3) Any person who contravenes paragraph (1)(a) or (e) shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000.

(4) Any person who contravenes paragraph (1)(b), (c) or (d) shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$5,000.

### PART III

#### REVOCATION, SURRENDER AND VARIATION OF PERMITS

##### **Revocation of permits**

**18.—**(1) Subject to paragraph (4), the Director may, by written notice given to a person who is the holder of a permit, revoke the permit without assigning any reason if —

- (a) the Director is satisfied that the person in, or in relation to, an application or a notice concerning the permit made a statement that the person knew was false or misleading in a material particular;
- (b) the Director is satisfied that the person in, or in relation to, an application or a notice concerning the permit gave to the Director a document containing information that the person knew was false or misleading in a material particular without —
  - (i) indicating to the Director that the document was false or misleading and the respect in which the document was false or misleading; and
  - (ii) providing correct information to the Director if the person had, or could reasonably have obtained, the correct information;
- (c) the Director is satisfied that the person in, or in relation to, an application or a notice concerning the permit failed to disclose all the information that should have been disclosed;
- (d) the Director is satisfied that the person has breached any of the permit conditions;

- (e) the Director is satisfied that the person has contravened any provision of the Act or these Regulations; or
- (f) having considered information that was not considered before granting the permit, the Director is satisfied that if he were now asked to grant the permit, he would decide not to grant the permit.

(2) If a permit authorises —

- (a) a single import or export of hazardous or other waste; or
- (b) the carrying out of a single transit proposal,

the Director shall not revoke the permit if that import or export has taken place, or after that transit proposal has been carried out, as the case may be.

(3) If a permit authorises —

- (a) 2 or more imports or exports of hazardous or other waste; or
- (b) the carrying out of 2 or more transit proposals,

a revocation of that permit applies only to an import or export, or the carrying out of a transit proposal, as the case may be, that has not begun at the time of the revocation.

(4) The revocation of the permit takes effect on the day on which the notice revoking the permit is given to the person.

(5) In this regulation, “application or notice concerning the permit” means —

- (a) the application for the permit;
- (b) a notice under regulation 7 relating to the application for the permit; or
- (c) an application for a variation of the permit pursuant to which the Director has varied the permit.

### **Surrender of permits**

**19.—**(1) Subject to this regulation, the holder of a permit shall surrender the permit as soon as he knows that the export proposal,

import proposal or transit proposal authorised by the permit will not be carried out.

(2) A permit shall be surrendered by returning the permit to the Director in a manner approved by the Director.

(3) If the permit is an import permit, the permit may not be surrendered after any import authorised by the permit has begun.

(4) If the permit is an export permit, the permit may not be surrendered after any export authorised by the permit has begun.

(5) If the permit is a transit permit, the permit may not be surrendered after the carrying out of any transit proposal authorised by the permit has begun.

(6) The surrender of the permit takes effect on the day on which paragraph (1) is satisfied.

(7) No fee paid shall be refunded upon surrender of a permit under this regulation.

(8) Any person who fails to comply with paragraph (1) shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$5,000.

### **Variation of permits**

**20.**—(1) Subject to paragraph (5), the Director may, by written notice given to a person who is the holder of a permit, vary the permit without assigning any reason.

(2) Without limiting paragraph (1), the ways in which the Director may vary the permit include —

- (a) imposing a condition to which the permit is to be subject;
- (b) varying or revoking a permit condition; and
- (c) if a permit condition is to be complied with on or before a particular day, varying the day.

(3) If the Director varies the permit by imposing a condition, the Director may also, in the notice making the variation, specify a day on or before which the condition is to be complied with.

(4) The day referred to in paragraph (3) may be any day before, during or after —

- (a) any import authorised by the permit;
- (b) any export authorised by the permit; or
- (c) the carrying out of any transit proposal authorised by the permit,

as the case may be.

(5) The Director shall not vary the permit in a particular way unless —

- (a) the person has made a variation application for the variation of the permit in that way and the Director is satisfied as mentioned in regulation 9 in relation to the application; or
- (b) the Director is permitted by paragraph (6) to make the variation.

(6) The Director may vary the permit in a particular way, otherwise than pursuant to a variation application, only if —

- (a) he is satisfied that the person in, or in relation to, an application or a notice concerning the permit made a statement that the person knew was false or misleading in a material particular;
- (b) he is satisfied that the person in, or in relation to, an application or a notice concerning the permit gave to him a document containing information that the person knew was false or misleading in a material particular without —
  - (i) indicating to him that the document was false or misleading and the respect in which the document was false or misleading; and
  - (ii) providing correct information to him if the person had, or could reasonably have obtained, the correct information;
- (c) he is satisfied that the person in, or in relation to, an application or a notice concerning the permit failed to disclose all the information that should have been disclosed; or

- (d) having considered information that was not considered before granting the permit, he is satisfied that the variation is required to protect human beings or the environment from a significant risk of injury or damage.
- (7) A variation of the permit takes effect —
  - (a) if a prospective date of effect is specified in the notice making the variation, on that day; or
  - (b) in any other case, on the day on which the notice making the variation is given to the person.
- (8) In this regulation, “application or notice concerning the permit” has the same meaning as in regulation 18.

### **Applications for variations of permits**

- 21.**—(1) The holder of a permit may apply to the Director for the permit to be varied in a particular way.
- (2) The application shall —
- (a) be in the form approved by the Director; and
  - (b) set out, or be accompanied by, such information in relation to the proposed variation as is required by the form.

### **Further information may be requested**

**22.** If the Director requires further information to deal with a variation application, he may ask the applicant to provide the information in writing.

### **Making of variations**

**23.** The Director shall make the variation sought by a variation application if he is satisfied that if he were asked to grant the permit, as proposed to be varied, the Director would decide to grant the permit.

### **Applicants to be notified of decisions**

**24.**—(1) If the Director decides to make the variation applied for in a variation application, the Director shall give the notice varying the

permit to the applicant as soon as practicable after making the decision.

(2) If the Director decides not to make the variation applied for in a variation application, the Director shall inform the applicant of the decision as soon as practicable after making the decision.

(3) A contravention of this regulation in relation to a decision does not affect the validity of the decision.

#### PART IV

### ORDERS BY DIRECTOR IN RELATION TO HAZARDOUS AND OTHER WASTES

#### **Orders where section 25 of Act contravened**

**25.—**(1) If a person imports hazardous or other waste in contravention of section 25(1) of the Act, the Director may, in writing, order the person to deal with the waste in a specified way at the expense of that person.

(2) If —

- (a) a person contravenes section 25(2) or (3) of the Act in relation to hazardous or other waste; and
- (b) the Director is satisfied that the hazardous or other waste poses a significant risk of injury or damage to human beings or the environment that requires the waste to be dealt with in a particular way,

the Director may, in writing, order the person to deal with the waste in that way at the expense of that person.

(3) Without limiting paragraphs (1) and (2), the Director may, under either of those paragraphs —

- (a) order the waste to be exported; or
- (b) specify the day on or before which anything required to be done in relation to the waste is to be done.

**Orders where section 26 of Act contravened**

**26.**—(1) If a person exports hazardous or other waste in contravention of section 26(1) of the Act, the Director may, in writing, order the person to deal with the waste in a specified way at the expense of that person.

(2) If —

(a) a person contravenes section 26(2) of the Act in relation to hazardous or other waste; and

(b) the Director is satisfied that —

(i) the waste poses a significant risk of injury or damage to human beings or the environment that requires the waste to be dealt with in a particular way; or

(ii) Singapore's international obligations require the waste to be dealt with in a particular way,

the Director may, in writing, order the person to deal with the waste in that way at the expense of that person.

(3) Without limiting paragraphs (1) and (2), the Director may, under either of those paragraphs —

(a) order the waste to be imported; or

(b) specify the day on or before which anything required to be done in relation to the waste is to be done.

**Orders where section 27 of Act contravened**

**27.**—(1) If a person brings hazardous or other waste into Singapore in contravention of section 27(1) of the Act, the Director may, in writing, order the person to deal with the waste in a specified way at the expense of that person.

(2) If —

(a) a person contravenes section 27(2) of the Act in relation to hazardous or other waste; and

(b) the Director is satisfied that —

- (i) the waste poses a significant risk of injury or damage to human beings or the environment that requires the waste to be dealt with in a particular way; or
- (ii) Singapore's international obligations require the waste to be dealt with in a particular way,

the Director may, in writing, order the person to deal with the waste in that way at the expense of that person.

(3) Without limiting paragraphs (1) and (2), the Director may, under either of those paragraphs —

- (a) order the waste to be exported; or
- (b) specify the day on or before which anything required to be done in relation to the waste is to be done.

### **Orders to remedy or mitigate damage**

**28.** If —

- (a) a person has contravened section 25, 26 or 27 of the Act in relation to hazardous or other waste; and
- (b) the Director is satisfied that the contravention resulted in the waste causing significant injury or damage to human beings or the environment,

the Director may, in writing, order the person to take such steps as he thinks proper to remedy or mitigate the damage at the expense of that person.

### **Failure to comply with order**

**29.** Any person who refuses or fails, without reasonable excuse, to comply with an order under regulation 25, 26, 27, 28 or 31 shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$10,000 or to imprisonment for a term not exceeding 12 months or to both and, in the case of a continuing offence, to a further fine not exceeding \$1,000 for every day or part thereof during which the offence continues after conviction.

**Director may take action and recover costs**

**30.**—(1) The Director may, instead of making an order under regulation 25, 26, 27 or 28 requiring a person to do something, arrange for the thing to be done.

(2) If a person refuses or fails to comply with an order under regulation 25, 26, 27 or 28 requiring the person to do something, the Director may —

- (a) after such refusal;
- (b) after the day specified in the order by the Director for that thing to be done; or
- (c) if no date was specified in the order, a reasonable time after making the order,

arrange for the thing to be done.

(3) If the Government incurs costs because of arrangements made by the Director under paragraph (1) or (2), the person is liable to pay to the Government an amount equal to the costs.

(4) The amount referred to in paragraph (3) may be recovered by the Government as a civil debt due to the Government in a court of competent jurisdiction.

**Orders authorising import of exported hazardous or other waste where it cannot be dealt with as intended**

**31.**—(1) If —

- (a) a person has exported hazardous or other waste in accordance with the requirements of the Act and these Regulations; and
- (b) the waste cannot be dealt with in accordance with —
  - (i) if the export was authorised by an export permit, the permit (including the permit conditions); or
  - (ii) if the export was ordered by the Director under regulation 25 or 27, the order,

the person may apply to the Director, in writing, for an order authorising the person to import the waste.

(2) If the Director receives an application under paragraph (1), he may make the order.

(3) The Director may, in the order, require that the waste be imported and dealt with in a specified way at the expense of that person.

(4) Without limiting paragraph (3), the Director may, under that paragraph, specify the day on or before which anything required to be done in relation to the waste is to be done.

## PART V

### MISCELLANEOUS

#### **Fees**

**32.** The fees payable in relation to applications under these Regulations are as specified in the Schedule.

#### **Modification or waiver in relation to special permit**

**33.** The Director may, on receipt of an application for a special permit, modify or waive the application of any provision in these Regulations in relation to that permit.

### THE SCHEDULE

Regulation 32

#### FEES

- |  |                      |
|--|----------------------|
| 1. Application for Basel permit or special permit (regulation 3, 4 or 5) —     |                      |
| (a) valid for one year   | \$335                |
| (b) valid for 3 months   | \$125                |
| 2. Application for variation of Basel permit or special permit (regulation 21) | \$45 per application |

[S 797/2014 wef 01/01/2015]

[G. N. No. S 71/98]

LEGISLATIVE HISTORY  
HAZARDOUS WASTE (CONTROL OF EXPORT, IMPORT AND  
TRANSIT) REGULATIONS  
(CHAPTER 122A, RG 1)

This Legislative History is provided for the convenience of users of the Hazardous Waste (Control of Export, Import and Transit) Regulations. It is not part of these Regulations.

**1. G. N. No. S 71/1998 — Hazardous Waste (Control of Export, Import and Transit) Regulations 1998**

Date of commencement : 16 March 1998

**2. 2000 Revised Edition — Hazardous Waste (Control of Export, Import and Transit) Regulations**

Date of operation : 31 January 2000

**3. G.N. No. S 797/2014 — Hazardous Waste (Control of Export, Import and Transit) (Amendment) Regulations 2014**

Date of commencement : 1 January 2015